

Glasgow Kelvin College

Internal Audit Progress Report

Audit & Risk Committee 21 September 2021

Issued: 13 September 2021



Internal Audit Progress Report September 2021

Progress with the annual plan for 2020/21 is shown below.

Audit Area	Planned reporting date	Report status	Report Number	Overall Conclusion	Audit & Risk Committee	Comments
Annual Plan 2020/21	26/11/20	Draft 19/08/20 Final 06/11/20	2021/01	N/A	26/11/20	
Sickness Absence	23/03/21	Draft 04/02/21 Final 09/03/21	2021/02	Satisfactory	23/03/21	
Corporate Governance - Externally Facilitated Effectiveness Review	Draft report to Board meeting on 10/03/21 Final report to Audit & Risk Committee on 23/03/21	Draft 22/02/21 2 nd Draft 25/02/21 Final 10/03/21	2021/03	N/A	23/03/21	
Business Development	23/03/21	Draft 10/03/21 Final 12/03/21	2020/07	Satisfactory	23/03/21	Due to the impact of the COVID-19 pandemic this review was deferred from the 2019/20 programme at the request of management
Cash & Bank and Treasury Management	23/03/21	Draft 10/03/21 Final 14/03/21	2021/04	Good	23/03/21	



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Procurement and Creditors / Purchasing	June 2021	Draft 07/06/21 Final 08/06/21	2021/06	Satisfactory	15/06/21	
IT Network Arrangements	June 2021	Draft 04/06/21 Final 08/06/21	2021/05	Good	15/06/21	
Follow-up reviews	September 2021	Draft 07/09/21 Final 13/09/21	2021/07	N/A see comments	21/09/21	14 Fully implemented 5 Partially implemented 2 Little or no progress made 21 in total
Credits audit	November 2021					Fieldwork is scheduled to commence on 20/09/21
Bursary, childcare and hardship funds	November 2021					Fieldwork commenced on 06/09/21
EMA audit	November 2021					Fieldwork commenced on 06/09/21

Gradings are defined as follows:

Good	System meets control objectives.
Satisfactory	System meets control objectives with some weaknesses present.
Requires improvement	System has weaknesses that could prevent it achieving control objectives.
Unacceptable	System cannot meet control objectives.



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