Item 12

Glasgow Kelvin College

Internal Audit Progress Report

Audit & Risk Committee 17 May 2022

Issued: 10 May 2022

Henderson Loggie

Internal Audit Progress Report
May 2022

Progress with the annual plan for 2021/22 is shown below.

Audit Area	Planned reporting date	Report status	Report Number	Overall Conclusion	Audit & Risk Committee	Comments
Annual Plan 2021/22	September 2021	Draft 07/09/21 2nd Draft 13/09/21 Final 21/09/21	2022/01	N/A	21/09/21	
Publicity and Communications	May 2022	Draft 31/03/22 Final 06/05/22	2022/03	**Good**	17/05/22	
Quality Assurance	February 2022					Fieldwork completed but report production delayed. Draft report to be issued for management comment by 17 May 2022, for reporting to the A&RC in September 2022.
Student Support	February 2022	Draft 11/02/22 Final 14/02/22	2022/02	**Good**	22/02/22	
Estates Strategy / Capital Projects	September 2022					Fieldwork commenced on 09/05/22.
Asset / Fleet Management	May 2022					Fieldwork deferred until w/c 19/09/22 at the request of management. This report will now be presented to the A&RC in November 2022.

Audit Area	Planned reporting date	Report status	Report Number	Overall Conclusion	Audit & Risk Committee	Comments
Performance Reporting / KPIs	May 2022					Fieldwork was delayed but was completed on 06/05/22. Findings to be discussed and draft report will be produced and presented to the A&RC in September 2022.
Data Protection	May 2022	Draft 09/05/22 Final 10/05/22	2022/04	**Good**	17/05/22	
Follow-up reviews	September 2022					Fieldwork planned for 08/08/22
Credits audit	November 2022					Fieldwork planned for September 2022
Bursary, childcare and hardship funds	November 2022					Fieldwork planned for September 2022
EMA audit	November 2022					Fieldwork planned for September 2022

Gradings are defined as follows:

Good	System meets control objectives.
Satisfactory	System meets control objectives with some weaknesses present.
Requires improvement	System has weaknesses that could prevent it achieving control objectives.
Unacceptable	System cannot meet control objectives.

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